SUMMARY - OLIVER'S LEARNING CONTINUING EDUCATION COURSES

Title	BC	AB	SK	MB***	ON	QC	CFP®	IIROC	The Institute
Anti-Money Laundering in the Financial Services Industry	10.0 hrs	10.0 hrs life; 10.0 hrs A&S;	10.0 hrs	10.0 hrs	10.0 hrs	0	10	0	0
Basics of Investing	0	6.0 hrs life	6.0 hrs	0	6.0 hrs	0	6	0	0
Beyond RRSPs	7.5 hrs	7.5 hrs life	7.5 hrs	7.5 hrs	7.5 hrs	0	7.5	0	0
Business Legal Issues	10.0 hrs	10.0 hrs life; 10.0 hrs Adj; 10.0 hrs A&S; 10.0 hrs Gen	10.0 hrs	10.0 hrs	10.0 hrs	0	10	0	0
Client Communication and Education in the Wealth Management Process	0	4.0 hrs life; 4.0 hrs A&S; 4.0 hrs Gen	2.0 hrs	0	2.0 hrs	0	2	0	0
Client Legal Issues	10.0 hrs	10.0 hrs life; 10.0 hrs A&S	10.0 hrs	10.0 hrs	10.0 hrs		10	8.0 PD hrs	7.0 hrs
Compliance 101	5.0 hrs	5.0 hrs life; 5.0 hrs Adj; 5.0 hrs A&S; 5.0 hrs Gen	5.0 hrs	5.0 hrs	5.0 hrs	0	5	0	0
Compliance and the Senior Client	10.0 hrs	10.0 hrs life	10.0 hrs	10.0 hrs	10.0 hrs	10 PDUs (CSF and IQPF)	10	10.0 compliance hrs	10.0 hrs
Compliance Toolkit	4.0 hrs	3.0 hrs life; 1.0 hrs A&S	4.0 hrs	4.0 hrs	4.0 hrs	0	4	0	0
Effective and Ethical Communication with Seniors	15.0 hrs	15.0 hrs life; 15.0 hrs A&S	15.0 hrs	15.0 hrs	15.0 hrs	0	15	0	0
Effective Communications	0	3.0 hrs life; 3.0 hrs Adj; 3.0 hrs A&S; 3.0 hrs Gen	3.0 hrs	0	3.0 hrs	0	3	0	0

Ethical Decision Making	4.0 hrs	4.0 hrs life; 4.0 hrs Adj; 4.0 hrs A&S; 4.0 hrs Gen	4.0 hrs	4.0 hrs	4.0 hrs	0	4	4.0 compliance hrs	4.0 hrs
Ethics Applied to the Financial Services Industry	3.0 hrs	3.0 hrs life; 3.0 hrs A&S; 3.0 hrs Gen	3.0 hrs	3.0 hrs	3.0 hrs	0	3	0	0
Financial Goal Counselling	12.5 hrs	12.5 hrs life	12.5 hrs	12.5 hrs	12.5 hrs		12.5	8.0 PD hrs	6.5 hrs
Financial Planning 1	5.0 hrs	5.0 hrs life	5.0 hrs	5.0 hrs	5.0 hrs	0	5	0	0
Financial Planning 2	5.0 hrs	5.0 hrs life	5.0 hrs	5.0 hrs	5.0 hrs	0	5	0	0
FINTRAC and Your Practice	12.0 hrs	12.0 hrs life	12.0 hrs	12.0 hrs	12.0 hrs	0	12	12.0 compliance hrs	0
Fixed-Income Securities	0	3.0 hrs life	3.0 hrs	0	3.0 hrs	0	3	0	0
Fundamental and Technical Analysis	0	4.0 hrs life; 4.0 hrs Adj; 4.0 hrs A&S; 4.0 hrs Gen	4.0 hrs	0	4.0 hrs	0	4	4.0 PD hrs	0
A Guide to Critical Illness Insurance	3.0 hrs	3.0 hrs A&S	3.0 hrs	3.0 hrs	3.0 hrs	0	3	0	0
Hedge Funds	0	3.0 hrs life	3.0 hrs	0	3.0 hrs	0	3	0	0
Household as a Financial Planning Unit	5.0 hrs	5.0 hrs life; 5.0 hrs A&S	5.0 hrs	5.0 hrs	5.0 hrs	0	5	0	0
Insurance Issues for the Small Business Owner	8.0 hrs	5.0 hrs life; 5.0 hrs A&S	8.0 hrs	8.0 hrs	8.0 hrs	0	8	0	0
Introduction to Derivatives	0	8.0 hrs life	8.0 hrs	0	8.0 hrs	0	8	0	0
Know Your Client: Women 55+	0	0	0	0	0	0	0	4.5 PD hrs	0
Legal Issues for Financial Planners	15.0 hrs	15.0 hrs life; 15.0 hrs A&S	20.0 hrs	20.0 hrs	20.0 hrs	0	20	0	0
Leveraged Investing	4.0 hrs	4.0 hrs life	4.0 hrs	4.0 hrs	4.0 hrs	0	4	0	0
Managing the Financial Affairs of the Deceased Client	5.0 hrs	5.0 hrs life	5.0 hrs	5.0 hrs	5.0 hrs	0	5	0	0
Money and Marriage	0	3.0 hrs life	3.0 hrs	0	3.0 hrs	0	3	0	0

Navigating Canada's Anti-Spam Legislation	1.0 hrs	0	1.0 hrs	1.0 hrs	1.0 hrs	0	1.0 hrs	0	0
Pension Benefits Statements	5.0 hrs	3.0 hrs life	5.0 hrs	5.0 hrs	5.0 hrs	0	5	0	0
Precious Metals 101	0	3.0 hrs life	3.0 hrs	0	3.0 hrs	0	3	0	0
Principles and Practice of Knowing Your Client	6.0 hrs	6.0 hrs life; 6.0 hrs A&S	6.0 hrs	6.0 hrs	6.0 hrs	0	6	6.0 compliance hrs	0
Principles of Compliance	12.0 hrs	12.0 hrs life; 6.0 hrs A&S	12.0 hrs	12.0 hrs	12.0 hrs	0	12	12.0 compliance hrs	10.0 hrs
Principes de conformité	12.0 hrs	0	12.0 hrs	12.0 hrs	12.0 hrs	0	12	12.0 compliance hrs	10.0 hrs
Professionalism and the Life Agent	3.0 hrs	3.0 hrs life; 3.0 hrs Adj; 3.0 hrs A&S; 3.0 hrs Gen	3.0 hrs	3.0 hrs	3.0 hrs	0	0	0	0
Protection of Personal Information through Privacy Legislation	3.0 hrs	3.0 hrs life; 3.0 hrs Adj; 3.0 hrs A&S; 3.0 hrs Gen	3.0 hrs	3.0 hrs	3.0 hrs	0	3	0	0
Psychology of Investing	6.0 hrs	6.0 hrs life	6.0 hrs	6.0 hrs	6.0 hrs	0	6	6.0 PD hrs	0
Reading a Corporate Financial Statement	0	4.0 hrs life; 4.0 hrs Adj; 4.0 hrs A&S; 4.0 hrs Gen	4.0 hrs	0	4.0 hrs	0	4	0	0
RESP Basics	0	3.0 hrs life	3.0 hrs	0	3.0 hrs	0	3	0	0
Retirement Income Planning	15.0 hrs	25.0 hrs life; 5.0 hrs A&S	30.0 hrs	30.0 hrs	30.0 hrs	0	30	30.0 PD hrs	0
Retirement Planning 1	5.0 hrs	4.0 hrs life; 1.0 hrs A&S	5.0 hrs	5.0 hrs	5.0 hrs	0	5	0	0
Retirement Planning 2	5.0 hrs	5.0 hrs life	5.0 hrs	5.0 hrs	5.0 hrs	0	5	0	0
Retirement Planning Strategies	15.0 hrs	14.0 hrs life; 1.0 hr A&S	15.0 hrs	15.0 hrs	15.0 hrs	0	15	0	0
Segregated Funds	8.0 hrs	8.0 hrs life	8.0 hrs	5.0 hrs	8.0 hrs	0	8	0	0
The Structure of Trusts	0	4.0 hrs life	4.0 hrs	0	4.0 hrs	0	4	0	0

Tax and Estate Planning Issues	15.0 hrs	15.0 hrs life	20.0 hrs	20.0 hrs	20.0 hrs	0	20	0	0
Understanding Mutual Funds	0	3.0 hrs life	3.0 hrs	0	3.0 hrs	0	3	0	0
Working with Senior Clients	0	0	0	0	0	0	10	10.0 PD hrs	0

*** Entry of CE for the same course more than once may be permitted on an exception basis only. If an agent wishes to claim credit for a course more than once, the agent must contact Council for prior approval and provide written reasons. This review is subject to the individual course review fee of $50. Please refer to https://www.icm.mb.ca/files/Renewal_2018/Renewal_2018/FAQs_for_website_for_renewal_-_updated_March_2018.pdf for more information.